

01/15/99



J0610 U.S. PTO

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PTO/SB/05 (2/99)

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	298-73
First Inventor or Application Identifier	Thilo Weitzel
Title	A DEVICE TO DETECT OR GENERATE...
Express Mail Label No.	EL071684586 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- * Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
- ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Other:

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
- Prior application information: Examiner _____ Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label		or <input checked="" type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)			
Name	Rocco S. Barrese c/o Dilworth & Barrese		
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Name (Print/Type)	George M. Kaplan	Registration No. (Attorney/Agent)	28,375
Signature	<i>George M. Kaplan</i>	Date	1/15/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application Washington DC 20231.

PATENT

Atty. Docket No. 298-73

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for
Patents
Washington, D.C. 20231

UTILITY APPLICATION FEE TRANSMITTAL

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Thilo Weitzel

For: A DEVICE TO DETECT OR GENERATE OPTICAL SIGNALS

Enclosed are:

[x] 11 page(s) of specification

[x] 1 page(s) of Abstract

[x] 5 page(s) of claims

[x] 4 sheets of drawings [x] formal [] informal

[x] 5 Page(s) of Preliminary Amendment

[] _____ page(s) of Declaration and Power of Attorney

[] An Assignment of the invention to _____

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date January 15, 1999 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL071684586US addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.


George M. Kaplan

[] This application claims the benefit under 35 U.S.C. §119(e) of U.S. Provisional Application(s) No(s).:

APPLICATION NO(S):

FILING DATE

____/____

[X] Certified copy of application

Country

Appln. No.

Filed

Germany

198 01 469.4

January 16, 1998

from which priority under Title 35 United States Code, § 119 is claimed

[x] is enclosed.

[] will follow.

CALCULATION OF UTILITY APPLICATION FEE *

For	Number Filed	Number Extra	Rate	Basic Fee
Total				\$760.00
Claims**	20	-20 = 0	x \$ 18.00	\$ 0
Independent				
Claims	2	-3 = 0	x \$ 82.00	\$ 0
Multiple	[] yes	Add'l. Fee	\$270.00	\$
Dependent				
Claims	[X] no	Add'l. Fee	None	= \$ 0
			TOTAL	\$760.00

[] Verified Statement of "Small Entity" Status Under 37 C.F.R. § 1.27. Reduced fees under 37 C.F.R. § 1.9(f) (50% of 790.00 total) paid herewith \$ 0.00.

[] The amount of \$40.00 for recording the attached Assignment is included in a separate check.

[X] Checks in the amount of \$760.00 to cover the
[] recording, [X] filing fee(s) is attached.

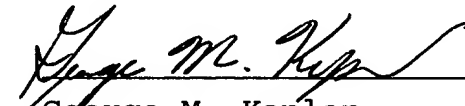
* BASED UPON ACCOMPANYING PRELIMINARY AMENDMENT

** INCLUDES ALL INDEPENDENT AND SINGLE DEPENDENT CLAIMS AND ALL CLAIMS REFERRED TO IN MULTIPLE CLAIMS. SEE 37 C.F.R. § 1.75(c).

[] Charge fee to Deposit Account No. 04-1121. Order No.
_____ TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: January 15, 1999


George M. Kaplan
Reg. No. 28,375

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